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By *Gina M. Maida*
Gina M. Maida
Dated: February 15, 2005

Patent
Atty. Docket:H60-113 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : SVEN-ERIK CARLSON, ET AL.
Serial No. : 10/654,184
Filing Date : SEPTEMBER 3, 2003
Examiner : MATTHEW J. KREMER
Group Art Unit : 3736
For : INCREASING THE PERFORMANCE OF AN OPTICAL PULSOXIMETER

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ Under 37 C.F.R. 1.129(a), or
(First/Second Submission after Final Rejection)
- ☐ Under 37 C.F.R. 1.97(b), or
(Within any one of the following time periods: three (3) months of filing national application, other than a CPA, or date of entry of the national stage in an international application; or before the mailing date of a first official action on the merits in a non-provisional application, including a CPA; or a Request for Continued Examination (RCE))
- ☒ Under 37 C.F.R. 1.97(c), together with either:
- ☐ Statement under 37 C.F.R. 1.97(e), as checked below, or
- ☒ Fee of \$180.00 under 37 C.F.R. 1.17(p), or
(After the 37 C.F.R. 1.97(b) time period, but before Final Office Action or Notice of Allowance, whichever issues first)
- ☐ Under 37 C.F.R. 1.97(d), together with:

☐ Statement under 37 C.F.R. 1.97(e), as checked below, and

☐ Fee of \$180.00 under 37 C.F.R. 1.17(p), or
(Filed after Final Office Action or Notice of Allowance, whichever issues first,
but on or before payment of Issue Fee)

☐ Under 37 C.F.R. 1.97 (i):

Applicant respectfully requests that the I.D.S. and cited reference(s)
be placed in the application file wrapper (Filed after payment of Issue Fee).

Statement Under 37 C.F.R. 1.97(e):

☐ Each item of information contained in this Information Disclosure
Statement was first cited in any communication from a foreign Patent
Office in a counterpart foreign application not more than three (3)
months prior to the filing of this Information Disclosure Statement; or

☐ No item of information contained in this Information Disclosure
Statement was cited in a communication from a foreign Patent Office in a
counterpart foreign application, and, to the knowledge of the
undersigned, after making reasonable inquiry, no item of information
contained in the Information Disclosure Statement was known to any
individual designated in 37 C.F.R. 1.56(c) more than three (3) months
prior to the filing of this Information Disclosure Statement.

Statement Under 37 C.F.R. 1.704 (d) - (Patent Term Adjustment):

(Applies to original applications, other than designs, filed on or after May 29, 2000)

☐ Each item of information contained in the Information Disclosure
Statement was cited in a communication from a foreign Patent Office in a
counterpart application and this communication was not received by any
individual designated in §1.56(c) more than thirty (30) days prior to
the filing of the Information Disclosure Statement.

Enclosed Herewith is Form PTO-1449, and:

☒ Copies of the cited references are enclosed.

☐ Copies of the cited references are enclosed, except those entered in
prior application, U.S. Serial No. _____, to which priority under
35 U.S.C. 120 is claimed (The earlier application contains copies of the
cited references).

☐ The listed references were cited in the enclosed International Search
Report in a counterpart foreign application.

☐ The "concise explanation" requirement (non-English reference) for
Reference(s) _____ under 37 C.F.R. 1.98(a)(3) is satisfied by:

- ☐ the explanation provided on the attached sheet;
- ☐ the explanation provided in the specification;
- ☐ submission of the enclosed International Search Report; or
- ☐ the enclosed English language Abstract.

☐ Applicant requests that the following pending applications be
considered:

U.S. Patent/Application No. _____, filed/issued _____
U.S. Patent/Application No. _____, filed/issued _____
U.S. Patent/Application No. _____, filed/issued _____

Examiner

Date

- [] A copy of each above-cited application, including the current claims, is enclosed.
- [] A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application Serial No. _____, to which priority under 35 U.S.C. 120 is claimed.

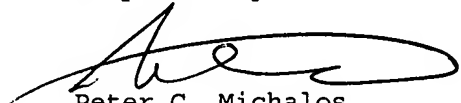
The Examiner is respectfully requested to return a copy of the above list of pending applications indicating which references were considered with the next Official Action.

Further, it is respectfully requested that the information disclosed herein be made of record in this application.

Method of Payment:

- [X] A check for the fee noted above is enclosed.
- [] Please charge our deposit account No. 14-1431.
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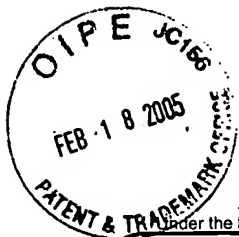
Respectfully submitted,



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Dated: February 15, 2005

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/654,184		
		Filing Date	September 3, 2003		
		First Named Inventor	Sven-Erik Carlson		
		Art Unit	3736		
		Examiner Name	Matthew J. Kremer		
Sheet	2	of	2	Attorney Docket Number	H60-113 US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		SEARCH REPORT PCT/CH2004/000552	
		WRITTEN OPINION PCT/CH2004/000552	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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